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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

Application Number 10/077,176

Filing Date February 19, 2002

First Named Inventor Rainer K. BRACHMANN

Group Art Unit 1645

Examiner Name TBA

Total Number of Pages in This Submission

Attorney Docket Number 004255.00008

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☒ Drawing(s) 7 Sheets, FIGS. 1A-3

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Return Copy of Notice to File  
Missing Parts**

**Executed Declaration (2 pages)  
Submission of Formal Drawings  
and copy**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Michelle L. Holmes-Son, Reg. No. 47,660

Signature

*Michelle L. Holmes-Son*

Date

June 3, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: )  
Rainer K. Brachman ) Group Art Unit: 1645  
Serial No.: 10/077,176 ) Examiner: TBA  
Filed: February 19, 2002 ) Atty. Docket: 04255.00008  
For: ENGINEERED OPEN READING )  
FRAME FOR P53 )

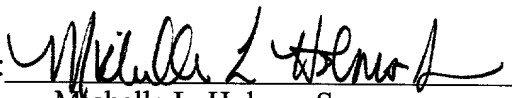
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**BOX MISSING PARTS**  
Assistant Director of Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application mailed April 26, 2002, in the above-identified application, Applicants submit herewith the executed declaration, substitute drawings, and required fees. A copy of the notice accompanies this paper. If any additional fee is due, please charge Deposit Account No. 19-0733.

Respectfully submitted,

By:   
Michelle L. Holmes-Son  
Registration No. 47,660

BANNER & WITCOFF, LTD.  
1001 G Street, N.W. – 11<sup>th</sup> Floor  
Washington, D.C. 20001  
Telephone: 202/508-9100  
Dated: June 3, 2002

10077176-060000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/077,176	02/19/2002	Rainer K. Brachmann	004255.00008

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

CONFIRMATION NO. 9526

## FORMALITIES LETTER



\*OC00000007965517\*

Date Mailed: 04/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- Substitute drawings in compliance with 37 CFR 1.84 because.
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

10077176

190733

06/05/2002 WARRHAM1 00000033

01 FC:201 370.00 CH  
02 FC:203 279.00 CH  
03 FC:205 65.00 CH

- Additional claim fees of **\$279** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$714** for a Small Entity

- **\$370** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge
- Total additional claim fee(s) for this application is **\$279**
  - **\$279** for **31** total claims over 20.

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*A copy of this notice **MUST** be returned with the reply.*

20250924 10:03:00

*HB*

Customer Service Center

Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Rainer K. Brachmann ) Group Art Unit: 1645  
Serial No. 10/077,176 ) Examiner: TBA  
Filing Date: February 19, 2002 ) Docket No. 004255.00008

For: ENGINEERED OPEN READING FRAME FOR P53

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner of Patents  
Washington, D.C. 20231

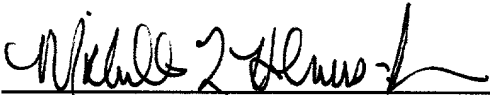
Dear Sir:

Responsive to the Notice to File Missing Parts, copy attached hereto, Applicants submit for filing in the United States Patent and Trademark Office seven (7) sheets of formal drawings (FIGS. 1-3) for the above-identified patent application.

Please charge any fee in connection with the filing of this drawing to our Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: June 3, 2002

By:   
Michelle L. Holmes-Son  
Registration No. 47,660

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